

## ANNOTATION OF EXISTING CONCERN REPORT #

(submitted by an Annotating Party)

### ● CATEGORIES OF POTENTIAL HARM FROM THIS CONCERN: *(check all that apply)*

Security harm

Usability harm

Privacy harm

Efficacy harm

*(Please describe your view of the relevant community's perspective on types, likelihood and severity of harms to persons or property that can result from the concern raised in this Concern Report including reference to expert and authoritative sources applied to the relevant community, etc.)*

### ● DEFENSES/MITIGATIONS TO POTENTIAL HARM:

*(Please provide your view of the relevant community's explanations/reasons/excuses for the source of the concern reported here that reduce its potential for harm or provide context for why the harm is a necessary harm, or other mitigating factors.)*

### ● SYSTEM PARTICIPANT STATEMENT OF RIGHT TO NOT BE HARMED:

System Participants have the right to not be harmed by the concern reported in this Concern Report.

Annotating Party Rights Statement: Specifically, I as an Annotating Party (and member of or commentator on the relevant community of which the Reporting Party is a part), assert that the System Participant has the right\*: *(Please provide your statement of Reporting Party's or other System Participants' rights to be free from the potential harm of the concern reported here.)*

*\*The right can be stated in positive or negative terms, e.g., "I, as a consumer have a right to know about how collected data is used" (positive statement of right); "I, as a citizen, have a right to not be assaulted in the street." (negative statement of right)*

**NEXT**

## ● TESTING PRINCIPLES AND TESTING PARAMETERS:

**Testing Principles:** Please provide a “Statement of Principles” in the form of a sentence establishing a “shall” obligation of a party to guide the application of system Testing Parameters and to inform the evaluation of behaviors of System Participants in support of the Rights and to mitigate harms identified here.

**Testing Parameters:** For each Testing Principle, please 1) provide references to existing S-U-P-E standards and specifications for testing technical and BOLTS performance under the Testing Principles as stated, and 2) suggest additional requirements, performance indicators, and duties that can support Testing Principles, but which are not yet part of existing S-U-P-E standards and specifications.

## > DDO ENTITIES

### Proposed Testing Principles

**DDO Entities shall:** *(Please provide a statement of the Principles upon which to base the expectations/aspirations of performance and behavior of DDO Entities that are needed for System Participants to realize the benefits of the “Rights” described in this Concern Report.)*

### Testing Parameters

**DDO Entity performance under the Principles may be tested/measured by:** *(Please provide a description of specific, relevant requirements of existing technical specifications and/or BOLTS specifications and citations for such normative cross references that might be referenced in support of the Principles set forth in this Concern Report.)*

**Additional Proposed Testing Requirements:** *(Please provide proposals for performance indicators, requirements and duties beyond those set forth in existing standards and specifications supporting the Principles set forth in this Concern Report.)*

## > STATES

### Proposed Testing Principles

**States shall:** *(Please provide a statement of the Principles upon which to base the expectations/ aspirations of performance and behavior of DDO Entities that are needed for System Participants to realize the benefits of the "Rights" described in this Concern Report.)*

### Testing Parameters

**States performance under the Principles may be tested/measured by:** *(Please provide a description of specific, relevant requirements of existing technical specifications and/or BOLTS specifications and citations for such normative cross references that might be referenced in support of the Principles set forth in this Concern Report.)*

**Additional Proposed Testing Requirements:** *(Please provide proposals for performance indicators, requirements and duties beyond those set forth in existing standards and specifications supporting the Principles set forth in this Concern Report.)*

## > INDIVIDUAL HUMANS

### Proposed Testing Principles

**Individual Humans shall:** *(Please provide a statement of the Principles upon which to base the expectations/aspirations of performance and behavior of Individual Humans that are needed for System Participants to realize the benefits of the "Rights" set forth in this Concern Report.)*

### Testing Parameters

**Individual Humans performance under the Principles may be tested/measured by:** *(Please provide a description of specific, relevant requirements of existing technical specifications and/or BOLTS specifications and citations for such normative cross references that might be referenced in support of the Principles set forth in this Concern Report.)*

**Additional Proposed Testing Requirements:** *(Please provide proposals for performance indicators, requirements and duties beyond those set forth in existing standards and specifications supporting the Principles set forth in this Concern Report.)*

## ● GENERAL NOTES:



**Applied Physics Laboratory**  
UNIVERSITY of WASHINGTON

**SUBMIT**